

Richmond and Wandsworth SAB Risk Log

Updated November 2023

Ref	Risk	Description	Raw Risk score			Owner	Mitigation	Mitigated risk score			Status
			Likelihood	Impact	Score			Likelihood	Impact	Score	
1.	Insufficient finances to undertake its statutory duty.	Contributions remain inequitable, costs continue to be covered by a small number of agencies/adult services. Lack of financial contribution lead to limitations, lowering of standards or quality.	3	3	9	Director Adult Social Care	Agree fair and equitable contributions to the costs of the SAB	2	3	6	Negotiation with ICB for a fairer contribution underway
2.	Partners disengage	Partner agencies may disengage, and effective networking and multi-agency cohesion may reduce. Collaboration between agencies may be negatively affected	2	5	10	Independent chair	Establishment of effective sub-groups and vibrant community forums in each borough	1	4	4	Sub-groups established. Richmond and Wandsworth Community Forums working effectively.
3.	Loss of locality specific focus	Borough specific themes and challenges are not identified, and area specific developments are lost. Loss of what worked well.	3	3	9	SAB Executive	Governance review has established additional Partnership local meetings to address this.	1	3	3	Borough specific strategic Partnership groups and Challenge events in place.

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4.	Statutory SAB requirements are not effectively met	The SAB is ineffective in carrying out its duties, following procedures and meeting its strategic objectives	4	4	16	Independent Chair	The Executive will receive and review quarterly reports from all the sub-groups. The annual audit will ensure that there is compliance with procedures across the partnership. The SAB will review its business plan annually	1	3	3	Regular updates are received and reviewed by the Executive. The annual audit is completed and shows there is substantial assurance of good practice across Richmond and Wandsworth. The Business Plan was reviewed at the AGM and amended. Assurance on specific CQC ratings for providers to come to SAB Executive.
5.	Practice issues are not shared across the partnership and change actions are ineffective	Irregularity of contact may mean that key messages and learnings from SARs are not effectively shared and as a result key changes in practice are not affected	4	4	16	SAR sub-group Chair	SAR sub-group will ensure that all SARs are published and that there is sharing of information with the national SAR library. The performance and workforce sub-group will	1	4	4	All SARs are published and registered with the national SAR repository. 7-minute briefings completed for all SARs and have been well received by front line practitioners.

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							ensure that 7-minute briefing are in place to share learning. The issue will be monitored at the annual audit				
6.	Inability to find independent reviewers to conduct SARs	It may be difficult to find a suitably qualified reviewer to undertake a SAR resulting in undue delays and loss of impetus in understanding what when wrong and identifying learning	3	3	9	SAR sub-group Chair	There is a robust system in place for commissioning SARs with wide publicity to ensure wide reach to find reviewers.	3	2	6	The commissioning process is effective, however there are times when finding a suitably qualified reviewer impact on the pace of completing the SAR. This is reported to the Executive.
7.	Workforce issues	Workforce pressures in all partners (particularly Health and Social Care) and the possible impact this could have around identification of risks for vulnerable populations.	3	4	12	SAB Executive	Set up a workforce workstream as recognised considerable pressures in both care providers and Council own workforces.	2	3	6	Linked to Council's Transformation Programme and the London Transformation Agenda. ICB to contribute action on workforce planning and assurance.
8.	Community Deprivation of Liberty (DoLS)	Partners not triggering Community DoLS	3	4	12	Quality and Improvement Sub-group	All partners to be aware of referral mechanisms and trigger	1	4	4	LA update: 81 triggered in Richmond, but only 13 in Wandsworth. A Social Worker is

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							Community DoLS				now focussing on Community DoLS until March 2024, to recognise and trigger them.
9.	Cost of living crisis and increased risks of mental health issues, fires, financial abuse.	The cost-of-living crisis carries an increased risk of people using inappropriate means to heat their homes, increasing the risk of fires, increase of mental health issues and scams/financial abuse.	3	3	9	London Fire Brigade/ SAB Community Forums	Awareness raising is carried out both by LFB and by the SAB, for both members of the public and professionals.	2	2	4	
10.	Safeguarding issues relating to refugees	Safeguarding issues may arise due to refugees having no or limited access to health and mental health services, education, work and employment, etc., in parts due to vetting and placements eligibility processes.	3	3	9	SAB Executive	SAB Executive to gain assurance on local arrangements around refugees.	2	3	3	New Refugee Service team is being set up and promoting awareness of the needs of refugees, as well as bringing together all partners involved.
11.	Right Care Right Person	Impact of new way of working could increase Safeguarding issues	2	4	8	SAB Executive	Keep partners informed about escalation and implementation. Monitor any issues flagged from partners.	1	3	3	All partners have been updated and notified of the changes and of the escalation process. Conversations regularly had at meetings to

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											feedback any issues arising.

Risk likelihood		Impact				
		Insignificant	Minor	Moderate	Major	Catastrophic
		1	2	3	4	5
Rare	1	1	2	3	4	5
Unlikely	2	2	4	6	8	10
Possible	3	3	6	9	12	15
Likely	4	4	8	12	16	20
Almost certain	5	5	10	15	20	25
		Low Risk 1-6	Medium Risk 7 - 14	High Risk 15-20		Very High Risk 25